

FOR MROs

### How to Report a Violation: MROs

### **Violation Reporting Requirements**

Medical review officers (MROs) are required to report positive drug test and drug tests refusals for Department of Transportation (DOT)required drug tests to the FMCSA Commercial Driver's License Drug and Alcohol Clearinghouse (Clearinghouse).

### Which violations are MROs responsible for reporting?

Both employers and MROs are required to report drug and alcohol program violations in the Clearinghouse per § <u>382.705</u>. The table below identifies who is responsible for reporting each type of violation.

Reporting Entity	Violations Reported to the Clearinghouse	Timeframe for Reporting
Prospective/Current Employer of CDL	An alcohol confirmation test with a concentration of 0.04 or higher	Employers must report any drug and alcohol program violation information by the close of the third business day following the date on which
Diver	Refusal to test (alcohol) as specified in 49 CFR § <u>40.261</u>	the employer obtained the information.
	Refusal to test (drug) not requiring a determination by the MRO as specified in 49 CFR § <u>40.191</u>	
	Actual knowledge of a drug or alcohol violation, as defined in 49 CFR § <u>382.107</u>	
MRO	Verified positive, adulterated, or substituted drug test result	MROs must report results within two business days of making a determination or verification of a DOT required drug test
	Refusal to test (drug) requiring a determination by the MRO as specified in 49 CFR § <u>40.191</u>	Any changes to the results report of a verified drug test must be reported to the Clearinghouse within one business day of making the changes.



### How to Report a Violation

The instructions below will walk through how to report a drug and alcohol program violation as an MRO.

If you are an employer, see the Reporting Violations for Employers Job Aid for instructions.

If you are a C/TPA, see the <u>Reporting Violations for C/TPAs Job Aid</u> for instructions.



### Log In and Access the Dashboard

Visit <u>https://clearinghouse.fmcsa.dot.gov</u>. Click **Log In** and access the Clearinghouse using your login.gov username and password.

Under My Dashboard, go to Violations and click Report a Violation.





### **Enter the Employer Information**

Enter the information of the employer listed on the Federal Drug Testing Custody and Control Form (CCF). This is the information of the driver's employer, not the MRO's employer. Enter all the information that is available to you.

Employer Info Enter the information of Enter all the information	ormation the employer listed on the Federa available to you.	ral Drug Testing Custody and Control Form (CC
Company Name		USDOT Number
Address		
Street	(	City
Country	State	ZIP Code
	Select a state	Ŧ





Ente	er the information of the	driver who incurred	the violation and o	click Verify Driver Inf	ormation.
You	will need to provide the	e following driver i	nformation:		
Driv	ver first and last name				
Driv	ver date of birth				
<b>D</b> riv	ver commercial driver's l	license (CDL) numb	er, and the country	and state of issuance	e
	Driver Information Enter the information of the dr noted.	<b>ON</b> river who committed the viola	tion. All fields are required ur	less otherwise	
	Driver Informatic Enter the information of the dr noted.	<b>on</b> river who committed the viola La:	tion. All fields are required ur st Name	less otherwise	
	Driver Informatic Enter the information of the dr noted. First Name Date of Birth month	on river who committed the violar La:	tion. All fields are required un	less otherwise	



If there is an issue verifying the driver CDL information, please check that you have entered all the information correctly. The information should match the information on the driver's CDL.

If the CDL number has special characters (such as spaces or hyphens), try entering the number with or without those characters, as requirements vary by State.

If the driver CDL information cannot be verified, the Clearinghouse will flag the record for FMCSA's review. However, the violation will be recorded in the Clearinghouse and the driver information will be recorded at a later date. You will still be able to continue reporting the violation.

Once a driver's CDL information has been verified, you will not be able to edit it. If you entered the driver's information in error, click **Cancel** and begin again with a new violation. When you are ready to continue, click **Next.** 



This driver information has been verified and cannot be changed. If you entered this in error, click "Cancel" and begin a new violation entry.

#### **Driver Information**

Enter the information of the driver who committed the violation. All fields are required unless otherwise noted.



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### **Enter the Violation Information**

Select the type of violation you are reporting and click Next. Each violation must be entered separately.

Employer Info Driver Info Violation Info Review & Submit Violation Information	
ENTER THE TYPE OF VIOLATION (SELECT ONE):	
Positive drug test     Refusal to take a drug test	
Previous Next Cancel	

The amount of violation information you will need to enter will depend on the type of violation you select. See below for an overview of the types of information you will need to enter for each violation type. Prompts will also appear on the screen to guide you through each process.

#### **REPORTING POSITIVE DRUG TESTS**

If you select **positive drug test**, you will be required to provide the following information:

- Reason for the test
- Date of test
- Date of verified result
- Specimen ID number
- All substances the driver tested positive for (see image below)

Marijuana Metabolite (Δ9-THCA)	6-Acetylmorphine
Cocaine Metabolite (BZE)	Morphine
PCP	Codeine
Methamphetamine	OXYC
Amphetamine	OXYM
MDMA	HYC
MDA	HYM



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### **Enter the Violation Information (Continued)**

#### **REPORTING TEST REFUSALS**

If you select refusal to take a drug test, you will be required to provide the following information:

- Reason for the test
- Type of test refusal (see image below)
- Date of test
- Date of verified result (adulterated/substituted only)
- Specimen ID number
- Remarks/additional information

TYDE OF TEST DEFLICAL	ISELECT ONE
TTPE OF TEST REFUSAL	SELECT ONE

Adulterated

Substituted

Failed to provide a sufficient specimen without medical explanation

Other





### **Review and Submit the Violation**

Review the information you have entered. The categories of information displayed will vary depending on the type of violation selected.

If the violation information needs corrections, click the **Previous** button until you reach the relevant screen. You can also click the edit icon () of the appropriate section to return to that screen. Once you have finished making corrections, click the Next button to navigate through the following screens until you return to the Review and Submit the Violation screen.

Review and Submit the Violation eview the information you provided below. If you need to make changes, click the edit icon to return to the ection requiring correction. Once all the information is correct, submit the violation.	Note: Once a drive verified, you will no
Employer of Driver	you entered the dr. error, click Cancel o a new violation.
Driver Information	
Once a driver's CDL/CLP information is verified, it cannot be edited. If the driver information was entered in error, delete this violation and enter a new one.	
DOB: CDL/CLP#:	
Violation Information	
Type of Violation:	
Reason for Test:	
Tested Positive For:	
I trify that the violation information that I am reporting to the Clearinghouse is accurate and complete. I lerstand that reporting false, inaccurate, or misleading information to the Clearinghouse may subject me to civil and/or criminal penalties in accordance with applicable law, including 49 USC 521. I further understand that I am participating in a covered transaction and that reporting false information may subject me to government-wide suspension or debarment under 2 C.F.R. Part 180.	
Previous Submit Violation Cancel	
Once all the information is accurate, <b>chec</b> Clearinghouse certification statement and	<b>k the box</b> to accept the click <b>Submit Violation</b> .

Note: Once a driver's CDL information is verified, you will not be able to edit it. If you entered the driver's information in error, click Cancel and begin again with a new violation.

#### THE VIOLATION HAS BEEN REPORTED.

Once the violation has been reported, you will be given the option to enter another violation.

Violation	Reported	
	The violation has been recorded in the Clearinghouse. Do you have another violation to enter?	
	Yes No	

If you select Yes, you will be taken back to the Driver Information screen.

If you select **No**, you will be directed to your Violations History page.



### How to Edit a Reported Violation

If you have entered a violation in error, you can submit a request to have the violation removed.

#### Under My Dashboard, go to Violations and access your Violation History.



Locate the violation and click View Violation Details.

Violations Reported	Displaying 1-1 of 1	Page: 1 💌	Report Violation
Test Reason Pre-employment (1) Violation Type Positive drug test (1)	Sample Driver 1 Reported On: 6/24/2020 12:35:03 PM Reported By: Sample-MRO@example.com	Reason for Test: Pre-employme	Record ID:
Status Reported (1)	Positive drug test View Violation Details		
Reported By Sample-MRO@example.com (1)			
Timeframe Last Day (1) Last 7 days (1) Last 30 days (1) Last Year (1) All Time (1)			



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#### Click Request to Remove Violation

ly Dashboard   Violations   Manage	
<b>/iolation</b> Details	Request to Remove Violation
Employer of Driver Sample Employer (USDOT)	LEARN MORE     The Return-to-Duty Process
Driver Information Sample Driver 1 DOB: CDL/CLP#: Country: State:	
Violation Information         Record ID:         Type of Violation: Positive drug test         Test Date: 6/24/2020       Verified Result: 6/24/2020         Reason for Test: Pre-employment         Specimen ID Number:         Tested Positive For: Marijuana Metabolite (Δ9-THCA)         Test Results Entered By         Sample MRO, (Sample-MRO) (6/24/2020 12:35:03 PM)	

In the pop up window, enter the reason the violation needs to be removed and click Request to Remove Violation.

Enter the reason why you need this via request to FMCSA. If approved, FMCSA. Clearinghouse.	olation removed in the box below. This will send a A will remove the violation from the
Reason for violation removal:	
Reason for violation removal:	

FMCSA will review the request and, if approved, remove the violation from the Clearinghouse.

