

DRUG & ALCOHOL CLEARINGHOUSE

Check-In Webinar
February/March 2023



Presenters

- Stacy Johnson
Office of Safety, FMCSA
- Isabella Marra
Office of Safety, FMCSA
- Clearinghouse
Technical Team

Roll Call

- Use the chat pod to tell us your name, role, and State



Agenda

1

DOWNGRADING PROHIBITED DRIVERS

- FMCSA policy and how States can prepare

2

CONNECTING TO THE CLEARINGHOUSE

- Recap of options available to SDLAs

3

STATE REPORT-OUT

- Updates on Clearinghouse-II implementation progress

4

Q&A

Reminder: Clearinghouse-II SDLA Requirements

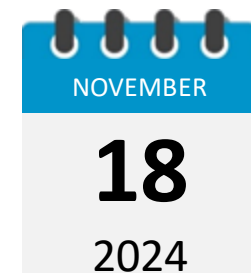
Mandatory CDL Downgrade

- Initiate process to remove CLP or CDL privilege **when notified by FMCSA of a driver's prohibited status** in the Clearinghouse
- Terminate CLP/CDL privilege removal **process if notified by FMCSA that a driver's status changed to "not prohibited"**
 - If CLP/CDL privilege removal was completed, reinstate CLP/CLP privilege
- If **notified by FMCSA that a driver's prohibited status was due to erroneous entry**, reinstate as soon as possible and expunge driving record

Non-Issuance

- **Query Clearinghouse** prior to commercial licensing transaction
- Deny commercial licensing transaction if Clearinghouse query shows driver in a prohibited status
- Commercial licensing transaction = issuing, renewing, transferring, or upgrading a CDL or issuing, renewing, or upgrading a CLP

Beginning



Downgrading CDLs

- On November 18, 2024, SDLAs must downgrade all CDLs/CLPs that are in a prohibited status
 - States have 60 days to complete these downgrades (see § 383.73(q))
- SDLAs can take steps today to prepare
 - Download the “Prohibited Drivers” report from the Clearinghouse website (SDLA logged in section—SDLA Portal account required)
 - Begin downgrading licenses/permits prior to November 18, 2024 (as long as you have authority to do so)
 - Apply for CDLPI grant funding to cover additional resources needed

Run Reports

The Driver Status Change report includes all drivers that have had a Clearinghouse status change within the specific time frame. The Prohibited Drivers Report includes all drivers that are currently listed as "prohibited" in the Clearinghouse.

Driver Status Change Report

Date Range

From

To

State of Issuance

Missouri ▼

Search

Prohibited Drivers Report

State of Issuance

Missouri ▼

Search

To Access Clearinghouse Reports:

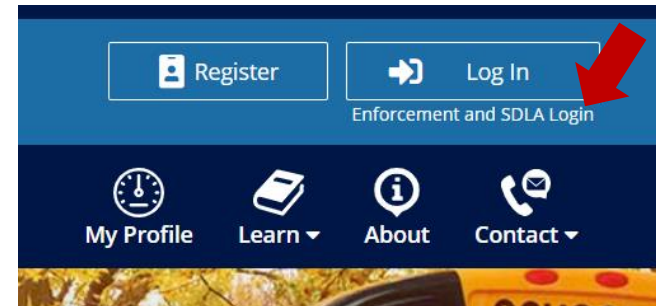
1. Request SDLA Portal account with the Clearinghouse user role

- Instructions are on the SDLA Resources page:

<https://clearinghouse.fmcsa.dot.gov/Resource/Page/SDLA-Resources>

2. Log in to the Clearinghouse using your Portal user ID and password

- Use the “Enforcement and SDLA Login” link (below the Log In button)
- Make sure you have requested Full Access (instructions are in the “Using the Clearinghouse User Interface” job aid)



SDLA View of Clearinghouse: Full Access

The screenshot displays the 'Drug & Alcohol Clearinghouse' website interface. At the top, there is a navigation bar with the logo on the left and four menu items: 'My Dashboard', 'Learn', 'About', and 'Contact'. Below the navigation bar is a large banner image of a red semi-truck. The main content area is divided into two columns. The left column is titled 'Search for a Driver' and contains a text input field for 'CDL or CLP Number', two dropdown menus for 'Country of Issuance' (set to 'country') and 'State of Issuance' (set to 'state'), and a blue 'Search' button at the bottom. The right column is titled 'Run Reports' and contains two report selection boxes. The first box, 'Driver Status Change Report', has dropdowns for 'Date Range' (with 'From' and 'To' sub-inputs) and 'State of Issuance' (set to 'Wyoming'), and a blue 'Search' button. The second box, 'Prohibited Drivers Report', has a dropdown for 'State of Issuance' (set to 'Wyoming') and a blue 'Search' button.

DRUG & ALCOHOL CLEARINGHOUSE

My Dashboard Learn About Contact

WELCOME

SDLAs currently have to option to voluntarily query the Clearinghouse prior to completing licensing transactions, such as issuance, renewal, transfer, or upgrade of a commercial driver's license (CDL). Learn more about how SDLA's will use the Clearinghouse.

Search for a Driver

Enter the information below to access a driver's current Clearinghouse status, as well as a history of previous Clearinghouse statuses, if any. All fields are required.

CDL or CLP Number

Country of Issuance: country

State of Issuance: state

Search

Run Reports

The Driver Status Change report includes all drivers that have had a Clearinghouse status change within the specific time frame. The Prohibited Drivers Report includes all drivers that are currently listed as "prohibited" in the Clearinghouse.

Driver Status Change Report

Date Range

From

To

State of Issuance: Wyoming

Search

Prohibited Drivers Report

State of Issuance: Wyoming

Search

Reminder: Clearinghouse-II SDLA Requirements

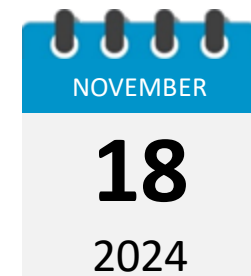
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Beginning



See § 383.73(q)(2) and (3)

Connecting to the Clearinghouse

“Direct Connect” Data Exchange Options

SDLA must **query** the Clearinghouse prior to issuing, renewing, transferring, or upgrading a CDL or CLP

SDLA will **receive notification** of a driver’s prohibited status (or change to not prohibited)

Query Methods

1. REST service (pull)
2. Web interface (pull)
(log in to Clearinghouse website)

Notification Methods

1. Machine-to-machine (push)
2. Machine-read email* (push)
3. Person-read email* (push)
4. REST service (pull, frequency TBD)
5. Web interface (pull, frequency TBD)

**Pull/query also needed to obtain full driver data*

States may also choose to work with AAMVA

Resources for Connecting to the Clearinghouse

Connect to the Clearinghouse

States have the option to establish a direct connection between their State IT system and the Clearinghouse. The guide below provides the information States will need to set up this connection.

- Download the [Clearinghouse Web Development Handbook v1.2](#)
- Download the [Open API Spec](#)
- **COMING SOON:** Interconnection Security Agreement (ISA), which will be required for connecting State IT systems with the Clearinghouse.

Note: This handbook is currently in draft form. We encourage States to send their feedback for consideration in future versions. If you have questions or comments, please send them to [the Clearinghouse Team](#).

States also have the option to use an AAMVA-provided service to establish this connection.

<https://clearinghouse.fmcsa.dot.gov/Resource/Page/SDLA-Resources>

- Contact AAMVA for more details on CDLIS-based solution (specifications coming August 2023).
 - Apti Morada: amorada@aamva.org (primary contact)
 - Rajesh Dharmarajan: rdharmarajan@aamva.org
- States are encouraged to apply for CDL Program Implementation (CDLPI) grant funding to cover costs associated with implementing the DACH II requirements.

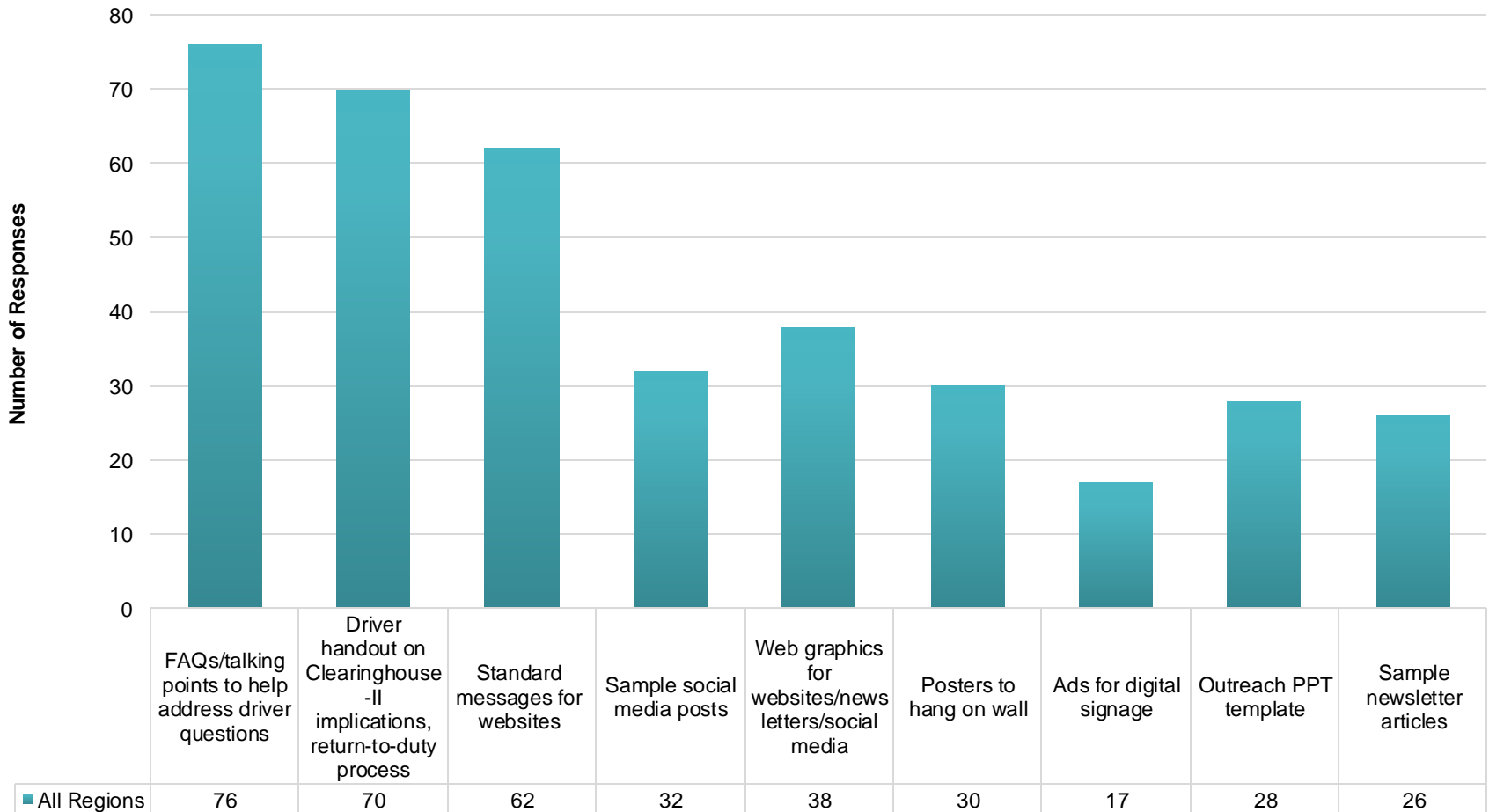
State Report-Out

CLDPI Notice of Funding Opportunity (NOFO)

- Posted to Grants.gov on Tuesday, January 31, 2023
 - Announcement number FM-CDL-23-001:
<https://www.grants.gov/web/grants/view-opportunity.html?oppld=345688>
- CDLPI Funding Conference
 - Webinar with guidance on completing CDLPI grant application held February 15, 2023
 - Presentation and [Zoom recording](#) are available at the grants.gov link above (see Related Documents tab)
- Due date: **Monday, April 3, 2023, 5 p.m. Eastern Time**

Outreach Materials: Your Feedback

Which of the following Clearinghouse resources would be helpful?



What materials are available today?

- Visit the SDLA Resources Page
 - Slides from SDLA check-in webinars
 - Technical materials on “direct connect” methods
 - Instructions on requesting FMCSA Portal accounts
 - **New:**
 - Countdown to Clearinghouse-II for SDLAs
 - Job aid on using Clearinghouse web interface
 - **Coming Soon:** Interconnection Security Agreement

The screenshot shows the FMCSA Clearinghouse website. The main heading is "SDLA RESOURCES". Below this, there are several sections of text and links:

- Countdown to Clearinghouse-II**: Download the materials below to learn more about the steps SDLAs need to take to prepare for the Clearinghouse-II compliance date, November 18, 2024.
 - SDLA Clearinghouse Compliance FactSheet: A summary of the SDLA requirements established by the Clearinghouse-II final rule.
 - Countdown to Clearinghouse-II for SDLAs: A guide for SDLAs to use in planning how they will meet their Clearinghouse requirements by November 18, 2024.
- SDLA Check-in Webinars**: Use the links below to download PDF versions of the presentations from previous check-in webinars.
 - November 2022: Overview of IT and staffing considerations for using the "direct connect" methods to query and receive notifications from the Clearinghouse, and resources for helping SDLAs connect to the Clearinghouse.
 - August 2022: Overview of the "direct connect" methods for querying the Clearinghouse and receiving notifications from FMCSA: Screenshots of the SDLA logged-in view of the Clearinghouse.
 - April 2022: Wrap-up of the Entry-Level Driver Training implementation, Overview of the SDLA requirements in the Clearinghouse-II final rule.
- Connect to the Clearinghouse**: States have the option to establish a direct connection between their State IT system and the Clearinghouse. The guide below provides the information States will need to set up this connection.
 - Download the Clearinghouse Web Development Handbook v1.2
 - Download the Open API Spec
 - **COMING SOON:** Interconnection Security Agreement (ISA), which will be required for connecting State IT systems with the Clearinghouse.
- Request FMCSA Portal Account**: SDLA staff members who use visit the Clearinghouse website and log in to query driver information will need an FMCSA Portal account with the "DACH - General Query" user role.
 - To learn how to request a Portal account, download the SDLA Portal job aid.
 - Already have a Portal account? To learn how to request the "DACH - General Query" user role, download the SDLA Clearinghouse Portal User Role job aid.
- Using the Clearinghouse**: Download the educational guide below for step-by-step instructions on how to use the SDLA logged-in section of the Clearinghouse (web interface).
 - **NEW:** Using the Clearinghouse for SDLAs
- About the Clearinghouse-II Final Rule**: The second Clearinghouse final rule established requirements for SDLAs' access to and use of driver-specific drug and alcohol program violation information contained in the Drug and Alcohol Clearinghouse.
 - Download the Clearinghouse-II final rule information session presentation.
 - Read the Clearinghouse-II final rule.
 - Read the Clearinghouse-II public FAQs.

<https://clearinghouse.fmcsa.dot.gov/Resource/Page/SDLA-Resources>

Countdown to Clearinghouse-II

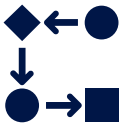
What should States do now to prepare for November 18, 2024?



Obtain legislative authority to enforce Clearinghouse regulations in your State



Select IT connectivity method(s)



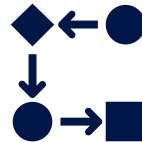
Apply for CDLPI grant funding to cover system upgrades



Plan updates to office procedures and start thinking about a training plan for all staff who will implement these procedures

State Report-Out

- What has your State done so far?
- What does your State plan to do next?
- What obstacles/roadblocks does your State anticipate?
- What can FMCSA do to support your State?
- How is your State preparing for the CDL/CLP downgrade requirement?



Q&A

- Enter your questions in the chat pod or unmute
- If we don't get to all the questions today, we will follow up with you directly



Resources

Where can I learn more about the Clearinghouse-II final rule?



■ Visit

- SDLA Resources:
<https://clearinghouse.fmcsa.dot.gov/Resource/Page/SDLA-Resources>
- Drug and Alcohol Clearinghouse:
<https://clearinghouse.fmcsa.dot.gov/>



■ Contact

- Clearinghouse Team: clearinghouse@dot.gov
- <https://clearinghouse.fmcsa.dot.gov/Contact>