DRUG & ALCOHOL CLEARINGHOUSE

Check-In Webinar

August 2022

Presenters

- Gian Marshall
  Office of Safety, FMCSA
- Isabella Marra
  Office of Safety, FMCSA
- Clearinghouse
  Technical Team

Roll Call

- Use the chat pod to tell us your name, role, and State

Agenda

1 **OVERVIEW**

- RECAP: Clearinghouse-II requirements for SDLAs

2 **CONNECTING TO THE CLEARINGHOUSE**

- Data Exchange Options
- SDLA logged-in view of Clearinghouse

3 **STATE REPORT-OUT**

- Updates on Clearinghouse-II implementation progress

4 **Q&A**

Clearinghouse-II SDLA Requirements

1. Non-Issuance

- SDLA must query the Clearinghouse prior to issuing, renewing, transferring, or upgrading a CDL or CLP
- SDLA must deny a commercial license transaction (non-issuance) if the Clearinghouse query shows a driver is prohibited

No later than

NOVEMBER

18

2024

Clearinghouse-II SDLA Requirements

2. Mandatory CDL Downgrade

- SDLA will receive notification of a driver's prohibited status
- SDLA must initiate the process to remove a CLP or CDL privilege (downgrade)
- FMCSA will notify the SDLA if a driver completes return-to-duty process (no longer prohibited)
- FMCSA will notify the SDLA if an erroneously entered violation is removed
  - SDLA must reinstate commercial driving privilege
  - SDLA to expunge driving record accordingly

No later than

NOVEMBER

18

2024

Data Exchange Options: Goals

- Meet the requirements of the rule
- Allow SDLAs flexibility in implementation
- Offer both automated and manual options for requesting driver data

“Direct Connect” Data Exchange Options

State Clearinghouse Query

SDLA must query the Clearinghouse prior to issuing, renewing, transferring, or upgrading a CDL or CLP

Pull Method	Data Available
1. REST service	• Driver status • Current prohibited drivers, by State • Status changes within date range, by State
2. Web interface (log in to Clearinghouse website)	

Notifications from FMCSA

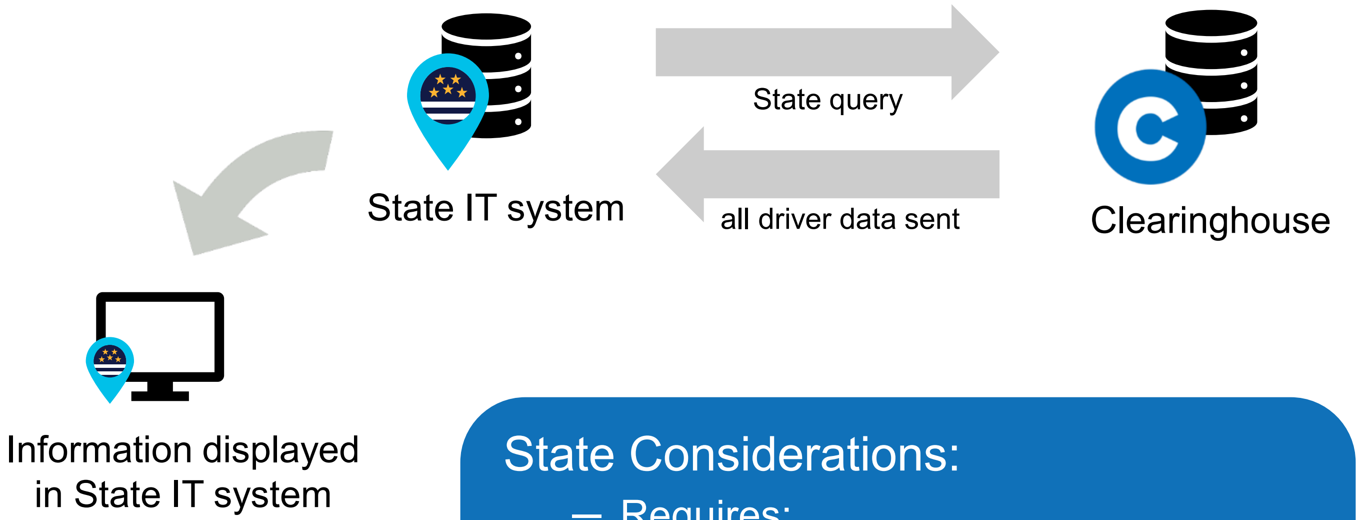
SDLA will receive notification of a driver’s prohibited status (or change to not prohibited)

Push Method	Data Sent
1. Machine-to-machine	All driver data: status, time, identifying information
2. Machine-read email*	Driver status, time, driver ID (no PII)
3. Person-read email*	

**Pull also needed to obtain add driver data*

States may also choose to work with AAMVA

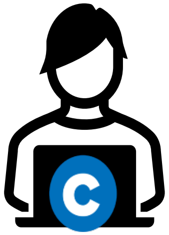
Pull Option 1: REST Service


State query
all driver data sent
State IT system
Clearinghouse
Information displayed in State IT system

State Considerations:

- Requires:
  - SDLA IT system, must grant FMCSA access
  - Interconnection Security Agreement (ISA)
  - Access credentials

Pull Option 2: Web Interface

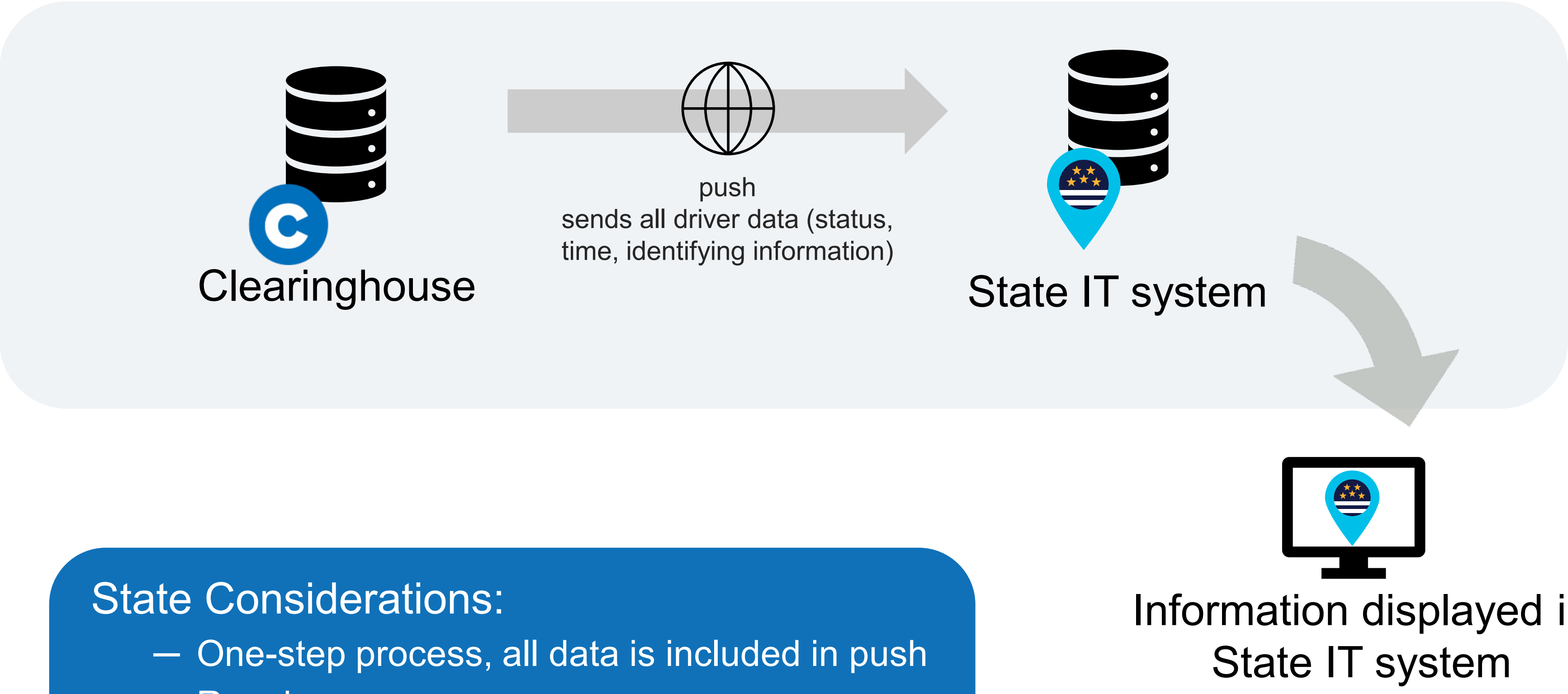


SDLA staff member logs in to Clearinghouse website

State Considerations:

- Does not require SDLA IT system or ISA
- Requires:
  - An email address
  - Portal account for *each user*

Push Option 1: Machine-to-Machine



Clearinghouse

push
sends all driver data (status, time, identifying information)

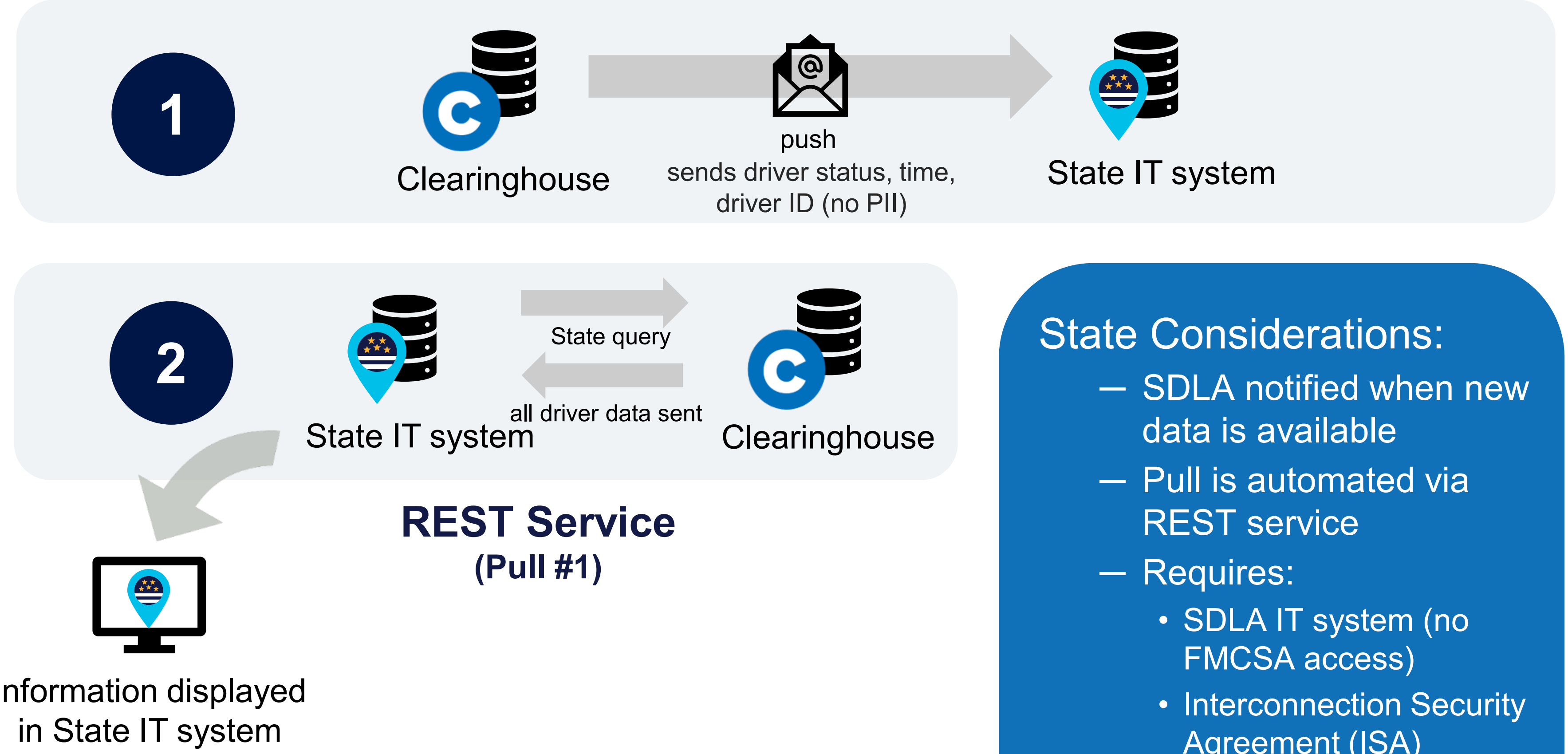
State IT system

Information displayed in State IT system

State Considerations:

- One-step process, all data is included in push
- Requires:
  - SDLA IT system, must grant FMCSA access
  - Interconnection Security Agreement (ISA)
  - Access credentials

Push Option 2: Machine-Read Email (Push/Pull)

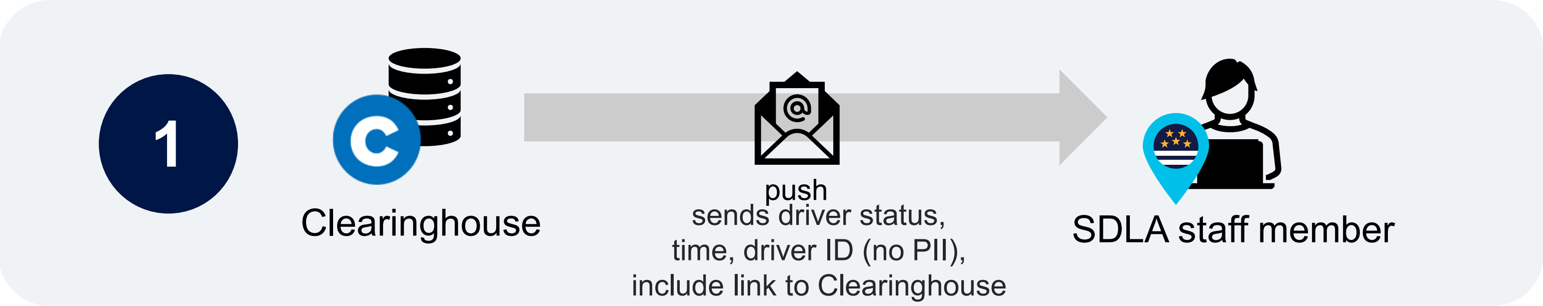

1
Clearinghouse
push
sends driver status, time, driver ID (no PII)
State IT system
2
State IT system
State query
all driver data sent
Clearinghouse
Information displayed in State IT system

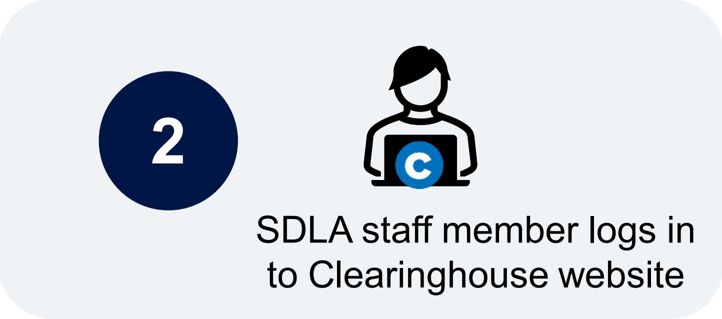
REST Service
(Pull #1)

State Considerations:

- SDLA notified when new data is available
- Pull is automated via REST service
- Requires:
  - SDLA IT system (no FMCSA access)
  - Interconnection Security Agreement (ISA)
  - Access credentials

Push Option 3: Person-Read Email (Push/Pull)


1
Clearinghouse
push
sends driver status,
time, driver ID (no PII),
include link to Clearinghouse
SDLA staff member


2
SDLA staff member logs in
to Clearinghouse website

Web Interface

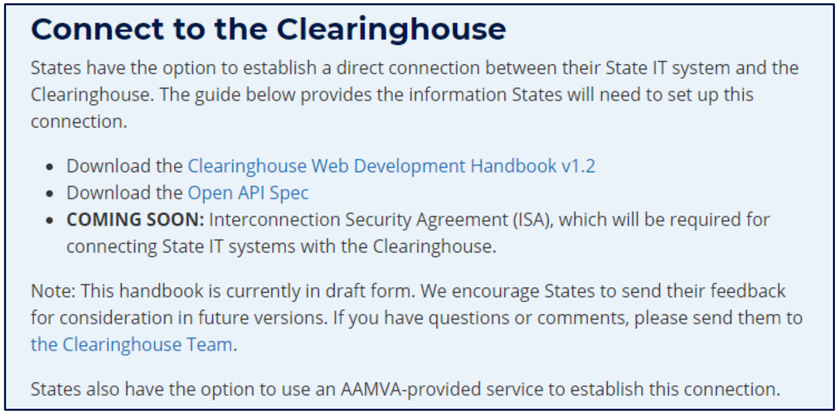
(Pull #2)

State Considerations:

- SDLA notified via email message when new data is available
- Does not require SDLA IT system or ISA
- Requires an email address and Portal account for *each user*

Resources for Connecting to the Clearinghouse

- Available Direct Connect Resources:
  - Updated Web Services Development Handbook
  - Open API Spec for States exploring the “direct connect to the Clearinghouse” option.



Connect to the Clearinghouse

States have the option to establish a direct connection between their State IT system and the Clearinghouse. The guide below provides the information States will need to set up this connection.

- Download the Clearinghouse Web Development Handbook v1.2
- Download the Open API Spec
- **COMING SOON:** Interconnection Security Agreement (ISA), which will be required for connecting State IT systems with the Clearinghouse.

Note: This handbook is currently in draft form. We encourage States to send their feedback for consideration in future versions. If you have questions or comments, please send them to the Clearinghouse Team.

States also have the option to use an AAMVA-provided service to establish this connection.

  - Visit https://clearinghouse.fmcsa.dot.gov/Resource/Page/SDLA-Resources
- Coming Soon: Interconnection Security Agreement (ISA)
- States also have the option to use an AAMVA-provided service (specifications coming August 2023)

“Direct Connect” Data Exchange Options

- States must select at least 1 push method and 1 pull method

State Pull/Query

Pull Method	Data Available
1. REST service	• Driver status • Current prohibited drivers, by State • Status changes within date range, by State
2. Web interface (log in to Clearinghouse website)	

Push Notifications from FMCSA

Push Method	Data Sent
1. Machine-to-machine	All driver data: status, time, identifying information
2. Machine-read email*	Driver status, time, driver ID (no PII)
3. Person-read email*	

**Pull also needed to obtain add driver data*

States may also choose to work with AAMVA

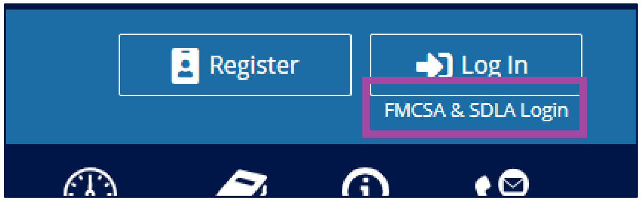
Data Exchange Option: State Considerations

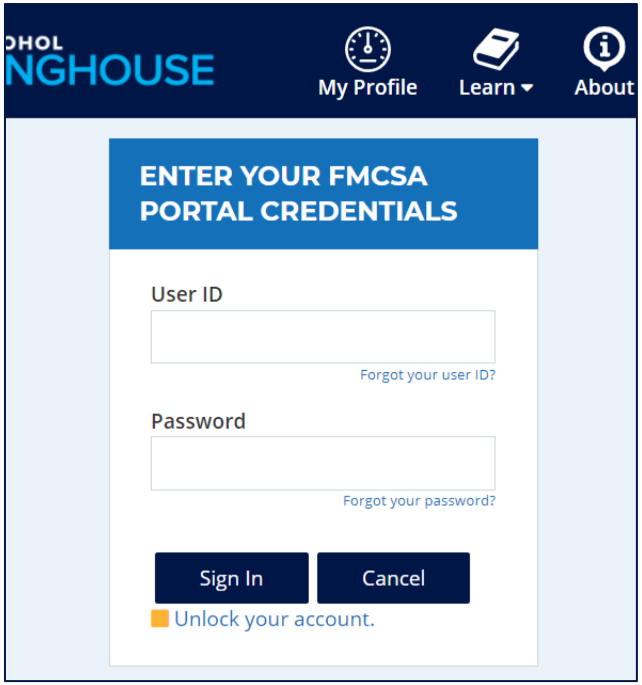
	SDLA IT system, grant FMCSA access	SDLA IT system, no FMCSA access	Manual pull by person (requires Portal account)
Push Option 1 (machine-to-machine)	✓		
Push Option 2 (machine-read email)		✓	
Push Option 3 (person-read email)		✓	✓
Pull Option 1 (REST service)		✓	
Pull Option 2 (web interface)			✓

SDLA Logged-In View of the Clearinghouse

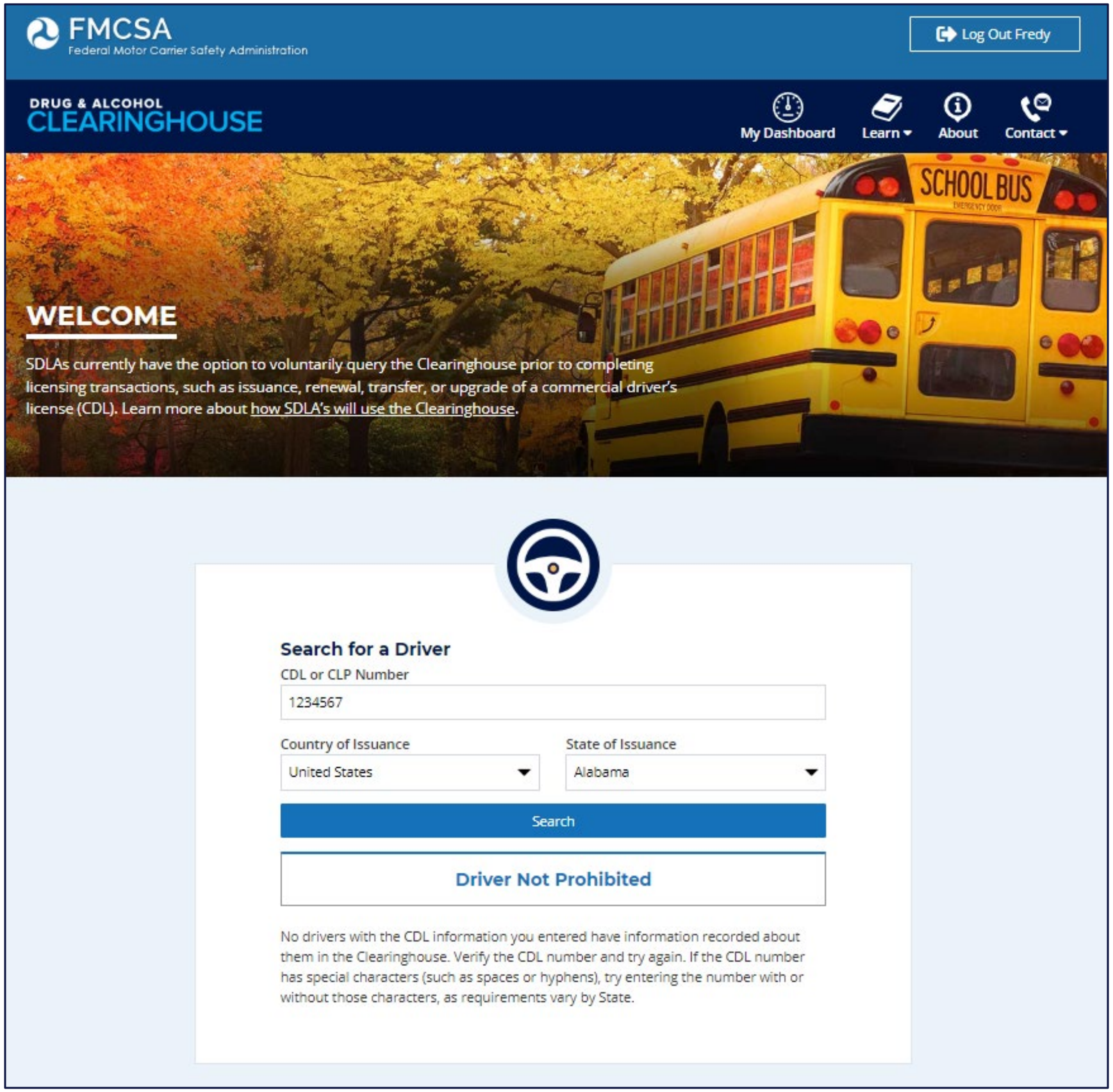
Logging In To the Clearinghouse

- **How can an SDLA staff member log in to the Clearinghouse?**
  Login requires an FMCSA Portal account. SDLAs will log in using their Portal user ID and password.
- **Which Portal user role do SDLA staff need to request?**
  The “DACH – General Query” user role.
- **Can multiple SDLA staff members share a Portal account?**
  No. Portal accounts are user-specific, one account per individual.
- For instructions, visit: https://clearinghouse.fmcsa.dot.gov/Resource/Page/SDLA-Resources


Register
Log In
FMCSA & SDLA Login


OHOL
NGHOUSE
My Profile
Learn
About
ENTER YOUR FMCSA PORTAL CREDENTIALS
User ID
Forgot your user ID?
Password
Forgot your password?
Sign In
Cancel
Unlock your account.

SLDA View of Clearinghouse: Basic Access


FMCSA
Federal Motor Carrier Safety Administration
Log Out Fredy
DRUG & ALCOHOL CLEARINGHOUSE
My Dashboard
Learn
About
Contact
SCHOOL BUS

WELCOME

SDLAs currently have the option to voluntarily query the Clearinghouse prior to completing licensing transactions, such as issuance, renewal, transfer, or upgrade of a commercial driver's license (CDL). Learn more about how SDLA's will use the Clearinghouse.

Search for a Driver

CDL or CLP Number: 1234567

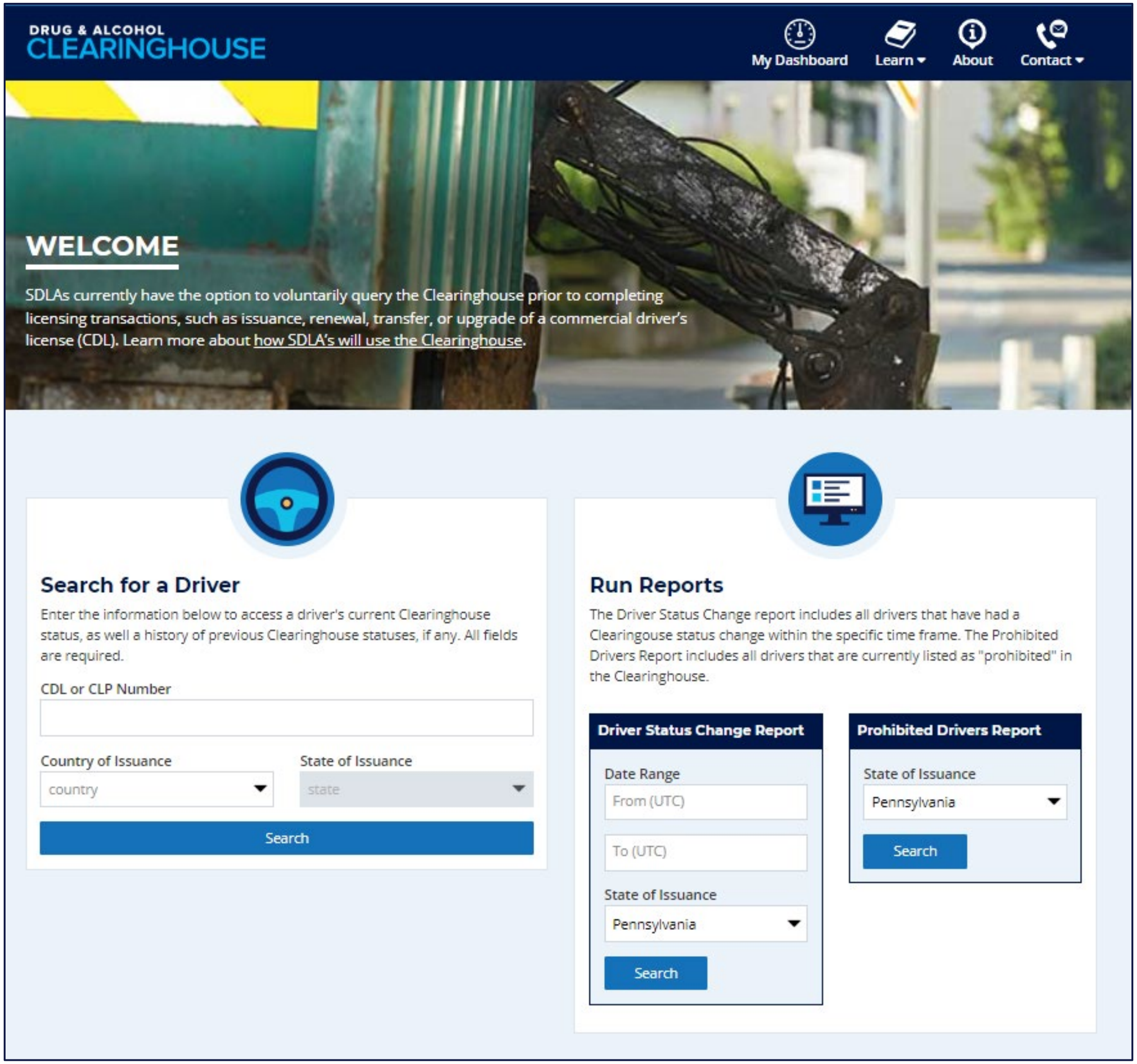
Country of Issuance: United States

State of Issuance: Alabama

Driver Not Prohibited

No drivers with the CDL information you entered have information recorded about them in the Clearinghouse. Verify the CDL number and try again. If the CDL number has special characters (such as spaces or hyphens), try entering the number with or without those characters, as requirements vary by State.

SDLA View of Clearinghouse: Full Access



WELCOME

SDLAs currently have the option to voluntarily query the Clearinghouse prior to completing licensing transactions, such as issuance, renewal, transfer, or upgrade of a commercial driver's license (CDL). Learn more about how SDLA's will use the Clearinghouse.

Search for a Driver

Enter the information below to access a driver's current Clearinghouse status, as well a history of previous Clearinghouse statuses, if any. All fields are required.

CDL or CLP Number

Country of Issuance: country

State of Issuance: state

Search

Run Reports

The Driver Status Change report includes all drivers that have had a Clearingouse status change within the specific time frame. The Prohibited Drivers Report includes all drivers that are currently listed as "prohibited" in the Clearinghouse.

Driver Status Change Report

Date Range: From (UTC) To (UTC)

State of Issuance: Pennsylvania

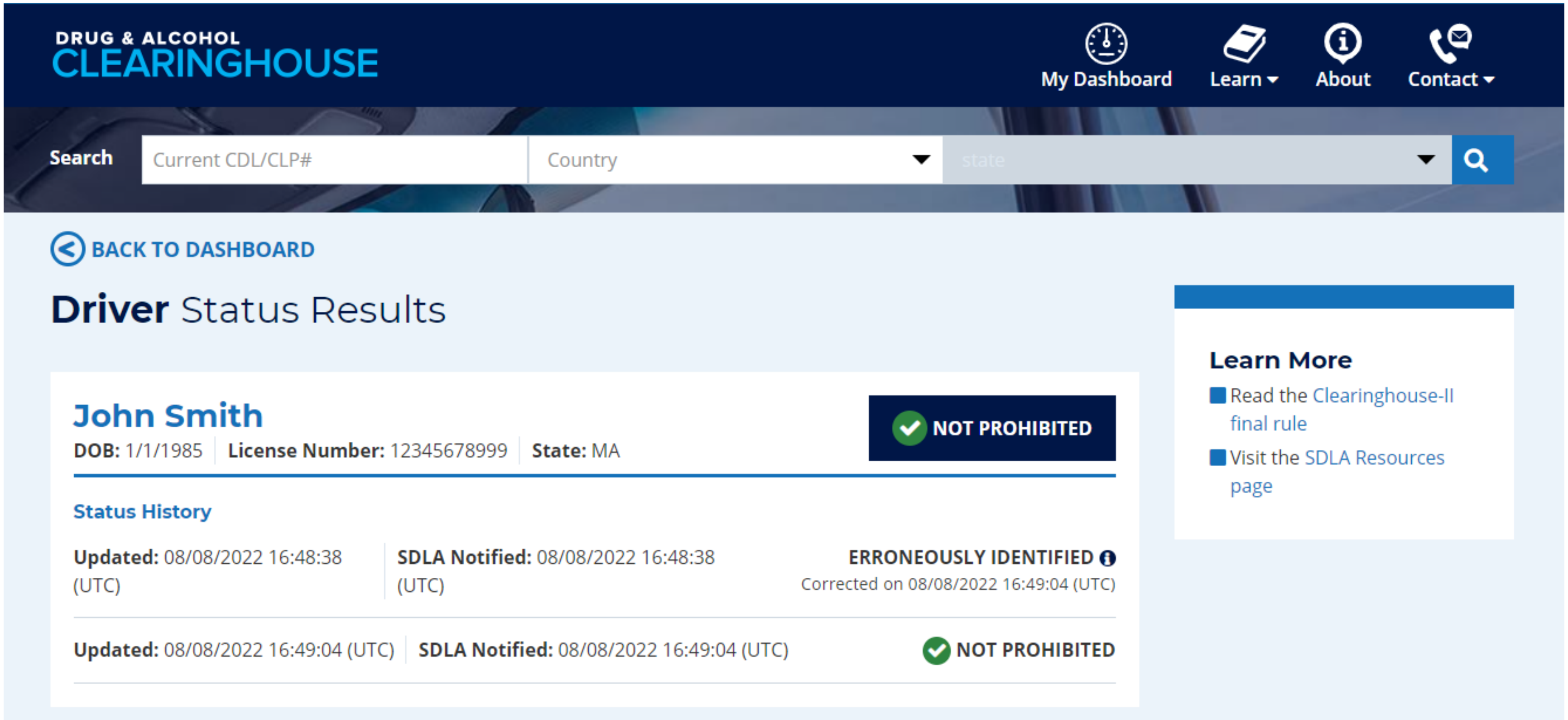
Search

Prohibited Drivers Report

State of Issuance: Pennsylvania

Search

SDLA Full Access: Driver Status History


DRUG & ALCOHOL CLEARINGHOUSE
My Dashboard
Learn
About
Contact
Search
Current CDL/CLP#
Country
state
BACK TO DASHBOARD

Driver Status Results

John Smith

DOB: 1/1/1985 | **License Number:** 12345678999 | **State:** MA

✓ **NOT PROHIBITED**

Status History

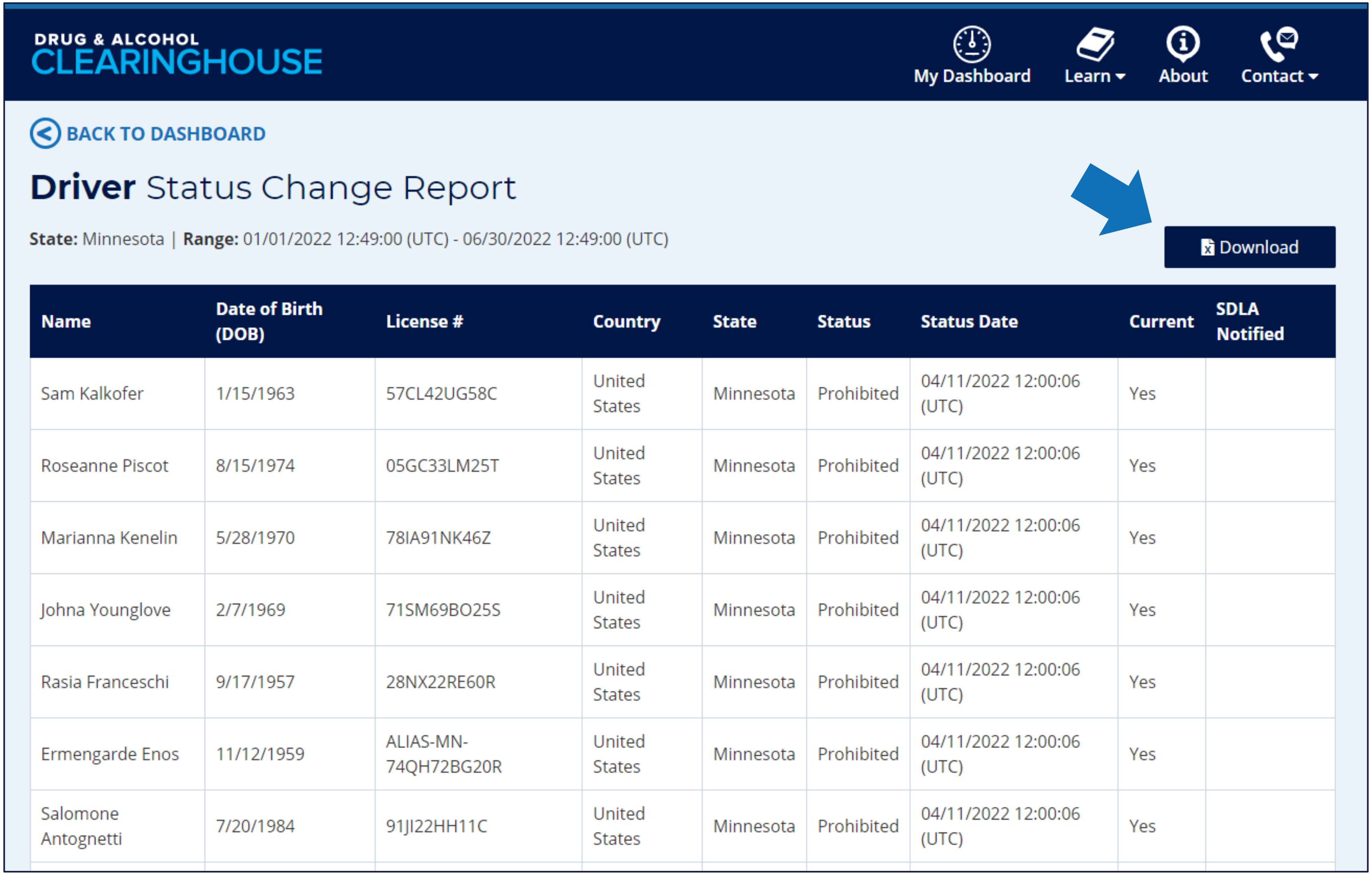
Updated: 08/08/2022 16:48:38 (UTC) | **SDLA Notified:** 08/08/2022 16:48:38 (UTC) | **ERRONEOUSLY IDENTIFIED** ℹ Corrected on 08/08/2022 16:49:04 (UTC)

Updated: 08/08/2022 16:49:04 (UTC) | **SDLA Notified:** 08/08/2022 16:49:04 (UTC) | ✓ **NOT PROHIBITED**

Learn More

- Read the Clearinghouse-II final rule
- Visit the SDLA Resources page

SDLA Full Access: Driver Status Change Report

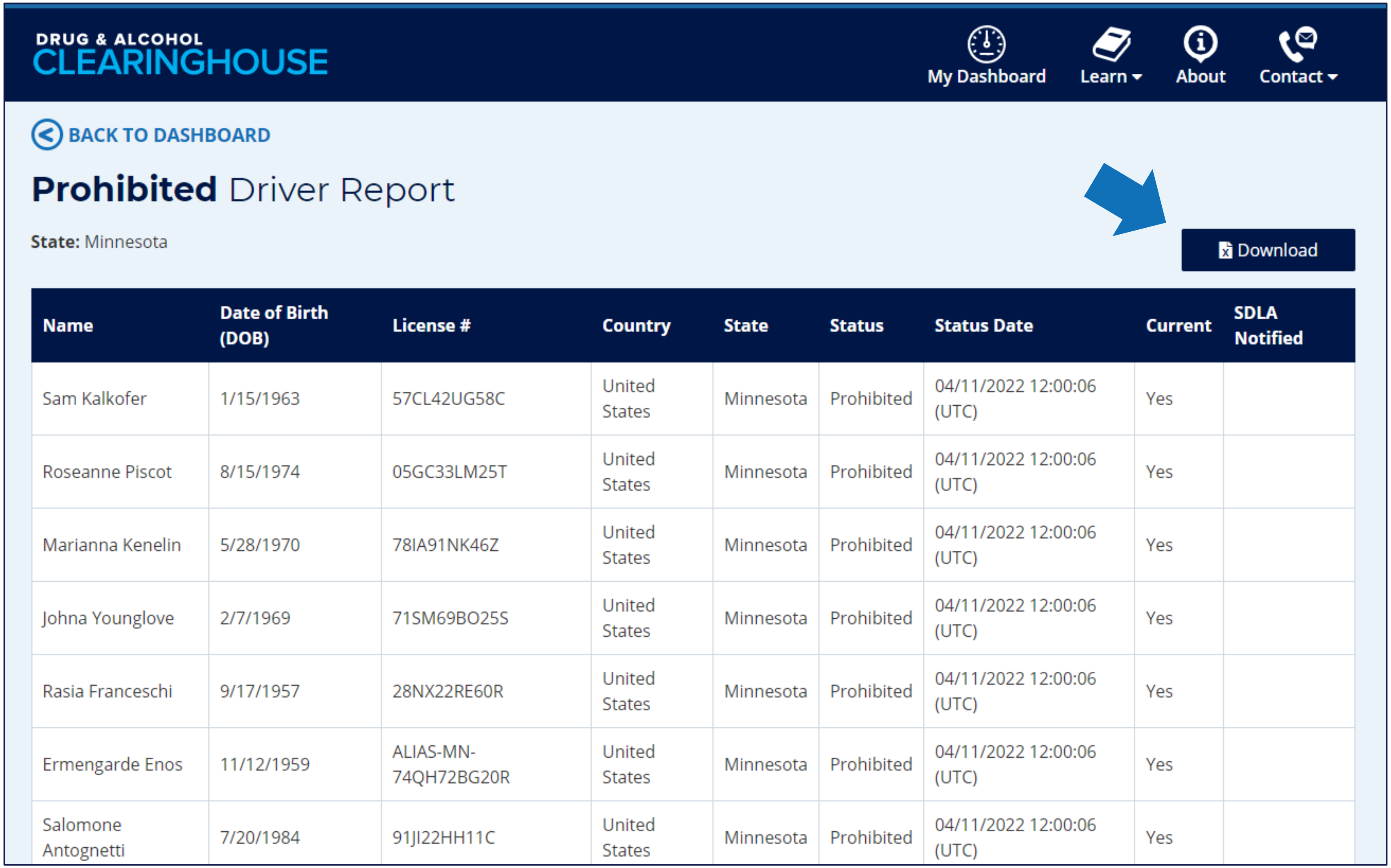


Driver Status Change Report

State: Minnesota | **Range:** 01/01/2022 12:49:00 (UTC) - 06/30/2022 12:49:00 (UTC)

Name	Date of Birth (DOB)	License #	Country	State	Status	Status Date	Current	SDLA Notified
Sam Kalkofer	1/15/1963	57CL42UG58C	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Roseanne Piscot	8/15/1974	05GC33LM25T	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Marianna Kenelin	5/28/1970	78IA91NK46Z	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Johna Younglove	2/7/1969	71SM69BO25S	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Rasia Franceschi	9/17/1957	28NX22RE60R	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Ermengarde Enos	11/12/1959	ALIAS-MN-74QH72BG20R	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Salomone Antognetti	7/20/1984	91JI22HH11C	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	

SDLA Full Access: Prohibited Driver Report



Prohibited Driver Report

State: Minnesota

Name	Date of Birth (DOB)	License #	Country	State	Status	Status Date	Current	SDLA Notified
Sam Kalkofer	1/15/1963	57CL42UG58C	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Roseanne Piscot	8/15/1974	05GC33LM25T	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Marianna Kenelin	5/28/1970	78IA91NK46Z	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Johna Younglove	2/7/1969	71SM69BO25S	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Rasia Franceschi	9/17/1957	28NX22RE60R	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Ermengarde Enos	11/12/1959	ALIAS-MN-74QH72BG20R	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	
Salomone Antognetti	7/20/1984	91JI22HH11C	United States	Minnesota	Prohibited	04/11/2022 12:00:06 (UTC)	Yes	

State Report-Out

Countdown to Clearinghouse-II

What should States do now to prepare for November 18, 2024?

Obtain legislative authority to enforce Clearinghouse regulations in your State

Select IT connectivity method(s)

Apply for CDLPI grant funding to cover system upgrades

Plan updates to office procedures and start thinking about a training plan for all staff who will implement these procedures

State Report-Out

- What has your State done so far?
- What does your State plan to do next?
- What obstacles/roadblocks does your State anticipate?
- What can FMCSA do to support your State?

Q&A

- Enter your questions in the chat pod or unmute
- If we don’t get to all the questions today, we will follow up with you directly

Resources

Where can I learn more about the Clearinghouse-II final rule?

- Visit
  - SDLA Resources: https://clearinghouse.fmcsa.dot.gov/Resource/Page/SDLA-Resources
  - Drug and Alcohol Clearinghouse: https://clearinghouse.fmcsa.dot.gov/
- Contact
  - Gian Marshall: gian.marshall@dot.gov
  - Clearinghouse Team: https://clearinghouse.fmcsa.dot.gov/Contact