

FOR SAPs

How to Report RTD Information: SAPs

Return-to-Duty Reporting Requirements

The return-to-duty (RTD) process, the process outlined in <u>Part 40 Subpart O</u> that a CDL driver who has incurred a drug and alcohol program violation must complete before being permitted to resume safety-sensitive functions, has not changed. Substance abuse professionals (SAPs) are required to report parts of the RTD process in the FMCSA Commercial Driver's License Drug and Alcohol Clearinghouse (Clearinghouse).

IF YOU ARE AN EMPLOYER OR DESIGNATED C/TPA, SEE THE <u>REPORTING RTD INFORMATION FOR</u> <u>EMPLOYERS JOB AID</u> OR <u>REPORTING RTD INFORMATION FOR C/TPAS JOB AID</u> FOR INSTRUCTIONS.

Which types of RTD information are SAPs responsible for reporting?

Both employers and SAPs are required to report information about a CDL driver's RTD process in the Clearinghouse, per §382.705. The table below identifies who is responsible for reporting specific information throughout the RTD process. Learn more about the RTD process.

Responsible Reporting Entity	RTD Information Reported to the Clearinghouse	Timeframe for Reporting
Designated SAP	Date of initial SAP assessment	SAPs must report the date of completion of an initial SAP assessment and the date of determination of eligibility for RTD testing by
	Date the driver is determined eligible for RTD testing	the close of the business day following the assessment or determination.
Employer of CDL Driver	Negative RTD test result(s)	Employers must report a negative RTD test result and the completion date of a driver's
	The date the driver's follow-up testing plan* is successfully completed	business day following the date on which the employer obtained the information.

*Note that a driver's education/treatment plan and follow-up testing plan are not recorded in the Clearinghouse.





Approve Designation

Before you can enter RTD information about a driver, you will need to approve the driver's request in the Clearinghouse to become their designated SAP. Drivers are instructed to contact a SAP prior to designating him or her as their SAP in the Clearinghouse. If you receive a designation request from a driver with whom you have not had previous contact, you should reach out to the driver prior to responding to the request.

Log In and Access the Dashboard

Visit <u>https://clearinghouse.fmcsa.dot.gov</u>. Click **Log In** and access the Clearinghouse using your login.gov username and password.

Your SAP Dashboard will display a blue dot next to the bell icon in the top right corner prompting you to view your Notifications list.





View Pending Requests

Click the bell icon to open your Notifications box and view any pending requests from drivers. Click the driver request notification to begin the approval process.



You can also see any pending requests in your Driver Summary. Click **View** to access any pending driver requests. If you need to record a driver's information and do not have a pending request from that driver, ask the driver to log in to the Clearinghouse and designate you as his or her SAP.



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Respond to the Driver Request

Locate the driver in your driver list and click Approve Request.

Sample Driver			
sample-driver@example.com			
Status: Pending requests Last Upd	ated: 5/4/2020 RTD ID:		
Approved Driver Designation: Approve Request Deny Request	Initial Assessment: Pending	RTD Test Eligible: Pending	

You will be prompted to confirm your selection. Click **Approve** to accept the driver request. You may also click **Cancel** to return to the driver summary without accepting the request.

AP Desig	nation Request
	Confirm Approval You are about to accept the request to become designated SAP. This enables you to report information about this driver's RTD process as defined in § 392.705(d).

The SAP Designation Request Has Been Approved

Once you have approved the driver's request, you will see the confirmation message below. You are now able to enter information about this driver's RTD process in the Clearinghouse.

AP Desig	nation Request
0	Request Approved You are now designated SAP. This enables you to enter to report information about this driver's RTD process in the Clearinghouse.

Note that once you accept a driver's request, you will not be able to remove the driver. If, due to unforeseen circumstances, you are not able to fulfill the requirements of overseeing a driver's treatment and/or education plan, <u>contact FMCSA</u> for assistance.

How to Report RTD Information

The instructions below explain how to report RTD information as a SAP. You must be logged in to the Clearinghouse to complete this process.

Access Your Driver List

Under My Dashboard, go to RTD Process and click Driver List.







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Locate the Driver

In your driver list, locate the driver whose RTD information you are reporting. You can use the status filter on the left to refine your search.

Status Pending Requests (0) Pending initial assessment (1) Pending RTD test eligibility (0) Completed final evaluation (1)	Sample Driver1 sample-driver1@example.com Status: Pending initial assessment	Last Updated: 5/4/2020 RTD ID:	
	Approved Driver Designation: Request Sent: 5/4/2020 Request Approved: 5/4/2020	Initial Assessment: Enter Date	RTD Test Eligible: Pending
	Sample Driver2		
	sample-driver2@example.com Status: Completed final evaluation	Last Updated: 4/29/2020 RTD ID:	
	Approved Driver Designation: Request Sent: 3/14/2020 Request Approved: 3/14/2020	Initial Assessment: Completed: 3/15/2020 Reported: 3/15/2020 Reported by: Sample SAP	RTD Test Eligible: 4/29/2020 (Sample SAP)



Enter the RTD Information

The driver's summary will indicate which information is ready to be recorded. See the examples below.

Note that the steps of the RTD process must be completed in the correct order to be properly recorded in the Clearinghouse. Recording this information within the mandated time frame is critical to ensuring that the driver's Clearinghouse status is kept up-to-date.

Initial SAP Assessment

Once you have approved the designation request, the Clearinghouse will allow you to enter the date of the driver's initial SAP assessment. To enter this information, click **Enter Date**. **Enter the completion date** of the initial SAP assessment, **check the box** to accept the Clearinghouse certification statement and click **Save.**

ample-driver@example.com tatus: Pending initial assessment L	ast Updated: 5/4/2020	RTD ID:		
			Date of	Initia
Approved Driver Designation: Request Sent: 5/4/2020 Request Approved: 5/4/2020	Initial Assessment: Enter Date	RTD Test Eligible: Pending	Enter the d The steps recorded in this to the	date of t of the R in the Cle Clearing
			Initial Asse	essmen







Enter the RTD Information (Continued)

RTD Test Eligibility

Once you report the date of the initial SAP assessment, the Clearinghouse will allow you to enter the date you determined the driver was eligible for his or her RTD test. To enter this information, click **Enter Date.**



Enter the date you determined that the driver was eligible for RTD testing, check the box to accept the Clearinghouse certification statement, and click Save.



If you enter the date of initial SAP assessment or RTD eligibility after the required reporting time frame has passed, you must provide an explanation. **Enter the reason** for late reporting, **check the box** to accept the Clearinghouse certification, and click **Save**.

Date of Initia	al SAP Assessment
Enter the date of	of the initial SAP assessment.
The steps of the recorded in the this to the Clea Clearinghouse :	RTD process must be completed in the correct order to be properly Clearinghouse. Once the driver is eligible for RTD testing, be sure to report ringhouse within the required timeframe. This will ensure that the driver's tatus is kept up-to-date.
Initial Assessm	ent Date
his informatio nitial SAP asse leadline.	n is required to be entered by the close of the business day following the ssment. Enter the reason this information is being entered past this
This informatic nitial SAP asse Jeadline.	In is required to be entered by the close of the business day following the ssment. Enter the reason this information is being entered past this at the return-to-duty information that I am reporting to the Cleaninghouse is nd complete. I understand that reporting false, inaccurate, or misleading
This informatic initial SAP assed deadline.	In is required to be entered by the close of the business day following the ssment. Enter the reason this information is being entered past this at the return-to-duty information that I am reporting to the Clearinghouse is nd complete. I understand that reporting fake, inaccurate, or misleading n to the Clearinghouse may subject me to civil and/or criminal penalties in with applicable law, including ad USC 521.1 further understand that I am 1g in a covered transaction and that reporting fake information may subject enriment-wide suspension or debarment under 2 C.F.R. Part 180.







Enter the RTD Information (Continued) The RTD Information Has Been Reported.

Once the RTD information is successfully reported, you will see the confirmation message below. Click OK to return to your driver list.

CLEA	RINGHOUSE	×
Date of Initial SAP Assessment		
	Success The date of the driver's initial SAP assessment has been recorded.	
ОК		

How to Edit Reported RTD Information

If you made an error while entering a driver's RTD information, you can make changes to this information. Entries may be removed one at a time by deleting the most recent entry.

If, in the example below, you need to delete the date of the initial SAP assessment, you must first delete the RTD eligibility date. Click Remove to delete this information.



You will be prompted to provide an explanation for removing the previously recorded RTD test eligibility date. Enter the reason for removal and click Submit.

Remove RTD Eligible Date	
1	You are about to clear the RTD eligible date you entered previously. You must enter the reason why you are removing this information from the Clearinghouse. Reason for removal:
Submit	Cancel

CLEARINGHOUSE FOR SAPS HOW TO REPORT RTD INFORMATION



Once the RTD test eligibility date has been deleted, this enables you to delete the date of initial SAP assessment. Click **Remove** to delete this information.

Sample Driver		
sampledriver@example.com		
Status: Pending RTD test eligibility	ast Updated: 5/16/2020 RTD II):
Approved Driver Designation:	Initial Assessment:	RTD Test Eligible: Enter Date
Request Sent: 5/4/2020	Completed: 5/5/2020	
Request Approved: 5/4/2020	Reported by: Sample SAP	
2	Remove Assessment	

You will be prompted to provide an explanation for removing the previously recorded initial assessment date. Enter the reason for removal and click Submit.

Remove Initial Assessment Date	
4	You are about to clear the initial assessment date you entered previously. You must enter the reason why you are removing this information from the Clearinghouse. Reason for removal:

Note that a SAP cannot delete a recorded date of eligibility for RTD testing if an employer has already recorded a negative RTD test for that driver. If you need assistance making changes to these entries, contact us.

Once you have deleted the erroneous entry, you can complete the steps to record a new entry or entries.

